

NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – ATAL PENSION YOJNA
BALANCE SHEET AS AT SEPTEMBER 30, 2016

	Schedules	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
Liabilities			
Unit Capital	2	3,430,066,876	374,118,247
Reserves and Surplus	3	512,314,479	4,328,240
Current Liabilities and Provisions	4	2,193,609	13,368,376
Total		3,944,574,964	391,814,863
Assets			
Investments	5	3,800,782,582	363,572,201
Deposits	6	2,500,000	2,500,000
Other Current Assets	7	141,292,382	25,742,662
Total		3,944,574,964	391,814,863
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REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2016

	Schedules	Half Year Ended Sept 30, 2016 ₹	Half Year Ended Sept 30, 2015 ₹
Income			
Dividend		2,171,597	48,649
Interest	8	96,338,923	2,909,990
Profit on sale/redemption of investments	9	7,243,428	319,626
Profit on inter-scheme transfer/sale of investments		-	-
Unrealized gain on appreciation in investments		170,222,607	4,787,479
Other income			
- Miscellaneous Income		-	-
Total		275,976,555	8,065,743
Expenses and Losses			
Unrealized losses in value of investments		-	-
Loss on sale/redemption of investments	10	-	-
Loss on inter-scheme transfer/sale of investments		-	-
Management fees (including service Tax)		142,802	4,599
NPS Trust fees		139,998	-
Custodian fees		118,918	3,909
CRA Fees		-	-
Less: Amount recoverable on sale of units on account of CRA Charges		-	-
Trustee Bank's fees		-	-
Depository and settlement charges		-	-
Other Expenses, if any		-	-
Total		401,718	8,508
Surplus/(Deficit) for the year		275,574,837	8,057,235
Net Surplus brought forward from previous year		56,145,536	415,602,869
Less: Adjustment for Previous years unrealised appreciation reserve		-	-
Less: Net Change in Unrealised Appreciation Reserve during the year		-	-
Amount carried forward to Balance Sheet		331,720,373	423,660,104

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016

Schedule 2	As at Sep 30, 2016 ₹	As at Sep 30, 2015 ₹
Unit Capital		
Initial Capital*	3,073	-
Outstanding at the beginning of the year	1,654,140,392	-
Add :Units issued during the year	1,805,508,912	374,119,638
Less: Units redeemed during the year	29,582,428	1,391
Outstanding at the end of the year	<u>3,430,066,876</u>	<u>374,118,247</u>
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	165,414,039.16	-
Add :Units issued during the year	180,550,891.18	37,411,963.80
Less: Units redeemed during the year	2,958,242.75	139.10
Outstanding Units at the end of the year	<u>343,006,687.59</u>	<u>37,411,824.70</u>
* Initial Capital represent capital on the date of commencement of scheme		
Schedule 3	As at Sep 30, 2016 ₹	As at Sep 30, 2015 ₹
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	16,180,190	-
Add: Premium on Units issued	166,723,873	194,595
Less: Premium on Units redeemed	2,309,956	3,923,589
Closing Balance	<u>180,594,106</u>	<u>(3,728,994)</u>
Appropriation Account		
Surplus/(Deficit) carried forward	331,720,373	8,057,234
Closing Balance	<u>331,720,373</u>	<u>8,057,234</u>
Unrealised Appreciation Account		
Opening Balance	-	-
Add : Adjustment for Previous years unrealised appreciation reserve	-	-
Add: Transferred from Revenue Account	-	-
Closing Balance	<u>-</u>	<u>-</u>
Total	<u><u>512,314,479</u></u>	<u><u>4,328,240</u></u>

Schedule 4	As at Sep 30, 2016 ₹	As at Sep 30, 2015 ₹
Current Liabilities and Provisions		
Current Liabilities		
Sundry Creditors for expenses		
Book Overdraft	-	-
Redemption Payable	77,251	-
TDS Payable	-	-
Contract for Purchase of Investments	1,793,794	13,359,962
NPS Charges Payable	84,528	
Management Fee Payable	99,153	5,149
Custodial Charges Payable	138,883	3,265
Amount Payable to Other Schemes	-	-
	2,193,609	13,368,376

Schedule 5	As at Sep 30, 2016 ₹	As at Sep 30, 2015 ₹
Investments (Long Term and Short Term)		
Equity Shares	337,586,782	34,068,401
Debentures and Bonds Listed/Awaiting Listing	1,614,732,208	163,691,523
Central and State Government Securities (including treasury bills)	1,848,463,592	165,812,277
Commercial Paper	-	-
Others - Mutual Fund Units	-	-
- Certificates of Deposit	-	-
Total	3,800,782,582	363,572,201

Schedule 6	As at March 31, 2016 ₹	As at March 31, 2015 ₹
Deposits		
Deposits with Scheduled Banks	2,500,000	2,500,000
	2,500,000	2,500,000

Schedule 7	As at March 31, 2016 ₹	As at March 31, 2015 ₹
Other Current Assets		
Balances with bank in a current account	189,203	(7,857,259)
Contracts for sale of investments	68,083,292	23,359,962
Outstanding and accrued income	72,936,981	10,126,840
Dividend Receivable	82,703	6,419
Brokerage receivable from PFM	203	27
Application money pending allotment	-	-
Sundry Debtors		106,673
Receivable from other scheme	-	
Others (Mututal Fund - Pending for Unit Allocation)		-
	141,292,382	25,742,662

NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – ATAL PENSION YOJNA
NOTES ANNEXED TO AND FORMING PART OF PROFIT AND LOSS ACCOUNT FOR THE HALF YEAR ENDED
SEPTEMBER 30, 2016

Schedule 8	For the Half year ended September 30, 2016	For the Half year ended September 30, 2015
	₹	₹
INTEREST INCOME		
Bank Interest	57,250	1,831
Interest Income - Bonds / Government Securities / Debentures	96,281,673	2,908,159
Interest Income Commercial Deposit / Commercial Paper	-	-
	96,338,923	2,909,990

Schedule 9	For the Half year ended September 30, 2016	For the Half year ended September 30, 2015
	₹	₹
REALISED GAINS ON SALE / REDEMPTION OF INVESTMENTS		
Profit on Sale of Equity Shares	431,367	1,766
Profit on Sale of Corporate Bonds	-	-
Profit on Sale of Government Securities	4,729,200	-
Profit on Sale of Comm. Paper / Comm. Deposits	-	-
Profit on Sale of Mutual Fund Scheme units	2,082,861	317,860
	7,243,428	319,626

Schedule 10	For the Half year ended September 30, 2016	For the Half year ended September 30, 2015
	₹	₹
REALISED LOSSES ON SALE/REDEMPTION OF INVESTMENTS		
Loss on Sale of Equity Shares	-	-
Loss on Sale of Corporate Bonds	-	-
Loss on Sale of Mutual Fund	-	-
Loss on Sale of Government Securities	-	-
	-	-

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C SBI PENSION FUND SCHEME – ATAL PENSION YOJNA (Refer Schedule 5)

Equity		As on 30.09.2016		
Sl. No.	Security Description	No.of Units	Market Value (Rs.)	% to Asset class
1	Acc Ltd.	1507	2410973.95	0.71%
2	Asian Paints Limited	1150	1334805	0.40%
3	Axis Bank Equity	19245	10418280.75	3.09%
4	Bharat Forge Limited	6215	5660622	1.68%
5	Bhartiartl Equity	13560	4257840	1.26%
6	Bajaj Auto	1575	4453470	1.32%
7	Bharat Petroleum Corporation Ltd.	8100	4962060	1.47%
8	Britannia Industries Limited	430	1446520	0.43%
9	Cipla	1150	667057.5	0.20%
10	Coal India Ltd.	15540	5008542	1.48%
11	Colgate Palmolive	5115	4964874.75	1.47%
12	Dr. Reddy'S Laboratories Limited	1379	4285104.6	1.27%
13	Eicher Motors Limited	103	2557263.4	0.76%
14	Gas Authority Of India Limited	5000	1873250	0.55%
15	Glenmark Pharmaceuticals Limited	3650	3370592.5	1.00%
16	Grasim Industries Ltd	1301	6292351.55	1.86%
17	Hcl Technologies Limited	12880	10302712	3.05%
18	Housing Development Finance Corporation Limited	9117	12704083.65	3.76%
19	Hdfc Bank Ltd.	13720	17463502	5.17%
20	Hero Motocorp Limited	1597	5451678.9	1.61%
21	Hindustan Unilever Limited	7320	6352662	1.88%
22	Icici Equity	61555	15521093.25	4.60%
23	Indusind Bank Limited	8775	10494461.25	3.11%
24	Infosys Technologies Limited	16865	17472983.25	5.18%
25	Itc	47275	11409821.25	3.38%
26	Kotak Bank Equity	16519	12835263	3.80%
27	Larsen And Turbo	10250	14689787.5	4.35%
28	Lic Housing Finance Limited	8050	4659340	1.38%
29	Lupin Limited	3885	5774081.25	1.71%
30	Mahindra & Mahindra Equity	7655	10760633.5	3.19%
31	Maruti Equity	3403	18639251.9	5.52%
32	Motherson Sumi Systems Ltd	6060	1927080	0.57%
33	Ntpc Limited	17100	2530800	0.75%
34	Oil & Natural Gas Corporation	7170	1840180.5	0.55%
35	Power Grid Corporation	48800	8615640	2.55%
36	Reliance Industry Limited	14395	15599861.5	4.62%
37	State Bank Of India Equity	64875	16299843.75	4.83%
38	Sun Pharmaceuticals Equity	16040	11912908	3.53%
39	Tata Motors Limited	25525	13652046.25	4.04%
40	Tata Consultancy Limited	3879	9429073.2	2.79%
41	Tech Mahindra Limited	5690	2388946.5	0.71%
42	Ultratech Cement Limited	1887	7267874.85	2.15%
43	United Phosphorus Limited	5050	3402942.5	1.01%
44	Wipro Ltd	1800	862110	0.26%
45	Zee Entertainment Enterprises Limited	6150	3362512.5	1.00%
	TOTAL		337,586,782	100%

Note 7 - DEBENTURES		As on 30.09.2016		
Sl. No.	Security Description	Face Value (Rs)	Market Value (Rs.)	% to Asset class
1	10.25 % Shriram Transport Finance Ltd 2024 10.10.2024	10000000	10988210	1.54%
2	10.00 % Shriram Transport Finance 2024 13.11.2024	10000000	10843340	1.52%
3	7.95% Hdfc Bank 2026 21.09.2026 Infra Bond	50000000	50932400	7.13%
4	8.32 % Hdfc Ltd 2026 04.05.2026	10000000	10549970	1.48%
5	8.32 % Reliance Jio Infocomm Ltd 2021 08.07.2021	50000000	51776700	7.25%
6	8.34% L&T Housing Finance Limited 2021 09.09.2021	50000000	51182400	7.17%
7	8.40 % Icici Bank 2026 13.05.2026 Infra Bond	30000000	31813530	4.46%
8	8.40 % Larsen Toubro Limited 2020 24.09.2020	24000000	24805056	3.47%
9	8.75 % Hdfc Ltd 2021 04.03.2021	20000000	20976240	2.94%
10	8.75 % Reliance Industries Ltd 2020 07.05.2020	19000000	19805999	2.77%
11	8.85 % Can Fin Homes Ltd 2019 17.05.2019	30000000	31045530	4.35%
12	8.90% II & Fs Financial Services Ltd 2026 01.08.2026	40000000	41230600	5.77%
13	8.92% Tata Capital Housing Finance Ltd 2026 04.08.2026	123000000	124168500	17.39%
14	8.95 % Hdfc 2023 21.03.2023	20000000	21439020	3.00%
15	8.95% Infotel Broadband (Reliance Jio) 04.10.2020	34000000	35795608	5.01%
16	8.99% Fullerton India Credit Co Ltd. 2022 15.07.2022	65000000	66506050	9.31%
17	9.15% Axis Bank 2022 31.12.2022	15000000	16198170	2.27%
18	9.15% Icici Bank 2022 31.12.2022	18000000	19437804	2.72%
19	9.15% Larsen & Toubro Limited 05-01-2019	1000000	1036713	0.15%
20	9.15 % Sp Jammu Udhampur Highway Ltd 2025 30.09.2025	26000000	29633526	4.15%
21	9.25% Icici Bank Ltd 2024 04.09.2024 Infra Bond	10000000	11119860	1.56%
22	9.34 % Hdfc 2024 28.08.2024	2000000	2213778	0.31%
23	9.43 % Lic Housing Finance 2022 10/02/2022	20000000	21702460	3.04%
24	9.50 % Hdfc 2024 13.08.2024	4000000	4462408	0.62%
25	9.39 % Lic Housing Finance 2024 23.08.2024	4000000	4438488	0.62%
	TOTAL	685,000,000	714,102,360	100.00%

Note 8 - BONDS		As on 30.09.2016		
Sl. No.	Security Description	Face Value (Rs)	Market Value (Rs.)	% to Asset class
1	8.43 % Hdfc Ltd 2025 04.03.2025	10000000	10561330	1.17%
2	8.48% Lic Housing Finance Ltd 29.06.2026	30000000	31996500	3.55%
3	8.72% Kotak Mahindra Ba 2022 14.01.2022	2000000	2109198	0.23%
4	8.75 % Idfc Limited 2023 28.07.2023	4000000	4259256	0.47%
5	8.85 % Axis Bank 2024 05.12.2024 Infra Bond	15000000	16203060	1.80%
6	9.35% Tata Motors Ltd. 2023 10.11.2023	20000000	21277800	2.36%
7	8.10 % Ntpc Limited 2026 27.05.2026	25000000	26014150	2.89%
8	8.11 % Exim 2025 03.02.2025	2000000	2072976	0.23%
9	8.11 % Rural Electrification Corp 2025 07.10.2025	60000000	62371680	6.93%
10	8.13 % Npcil 2027 28.03.2027	4000000	4225320	0.47%
11	8.13 % Npcil 2028 28.03.2028	4000000	4239264	0.47%
12	8.13 % Npcil 2029 28.03.2029	4000000	4248740	0.47%
13	8.13 % Npcil 2030 28.03.2030	4000000	4245448	0.47%
14	8.13 % Npcil 2031 28.03.2031	4000000	4255776	0.47%
15	8.14 % Npcil 2027 25.03.2027	35000000	36996015	4.11%
16	8.14 % Npcil 2028 25.03.2028	10000000	10605450	1.18%
17	8.14 % Npcil 2030 25.03.2030	13000000	13808249	1.53%
18	8.15 % Exim Bank 2025 05.03.2025	8000000	8313160	0.92%
19	8.15 % Exim 2030 21.01.2030	10000000	10542110	1.17%
20	8.17 % Nhpc Ltd 2031 27.06.2031	50000000	52723650	5.85%
21	8.20 % Irfc 2023 27/04/2023	7000000	7304381	0.81%
22	8.20% Nabard 2020 13.03.2020	8000000	8199752	0.91%
23	8.23 % Rec Ltd 2025 23.01.2025	16000000	16703136	1.85%
24	8.25 % Exim 2025 28.09.2025	15000000	15731385	1.75%
25	8.27 % Rural Electrification Corporation Limited 06.0	20000000	20929680	2.32%
26	8.30 % Gail India Limited 2022 23.02.2022	1000000	1034573	0.11%
27	8.30 % Gail India Limited 2025 23.02.2025	22000000	22760606	2.53%
28	8.30 % Rec 2025 10.04.2025	5000000	5242945	0.58%
29	8.32 % Power Grid Corp 23.12.2025	50000000	52675900	5.85%
30	8.3750 % Exim 2025 24.07.2025	21000000	22165395	2.46%
31	8.37% Nabard 2020 22.06.2020	1000000	1030523	0.11%
32	8.40 % Npcil 2026 28.11.2026	4000000	4296296	0.48%
33	8.40 % Power Grid Corp Ltd 2024 27.05.2024	1000000	1050607	0.12%
34	8.40% State Bank Of Hyderabad 2025 30.12.2025	15000000	15885945	1.76%
35	8.47% Lic Housing Finance Ltd 15.06.2026	28000000	29838032	3.31%
36	8.47% Pnb Housing Finance Ltd. 2021 01.07.2016	20000000	20957720	2.33%
37	8.48 % Lic Housing Finance Ltd 2025 29.08.2025	30000000	31884870	3.54%
38	8.48 % Pfc 2024 09.12.2024	1000000	1058230	0.12%
39	8.49% Ntpc Ltd 2025 25.03.2025	43873413	46315582.66	5.14%
40	8.50 % Nhpc 2019 14.07.2019	200000	205600.8	0.02%
41	8.50 % Nhpc 2020 14.07.2020	200000	206967.6	0.02%
42	8.50 % Nhpc 2021 14.07.2021	200000	208538.6	0.02%
43	8.50 % Nhpc 2022 14.07.2022	620000	6482267.4	0.72%
44	8.50 % Nhpc 2023 14.07.2023	200000	210820	0.02%
45	8.50 % Nhpc 2024 14.07.2024	200000	211432.6	0.02%
46	8.50 % Nhpc 2025 14.07.2025	1900000	2019774.1	0.22%
47	8.50 % Nhpc 2026 14.07.2026	3400000	3631754.2	0.40%
48	8.50 % Nhpc 2027 14.07.2027	200000	214564.8	0.02%
49	8.50 % Nhpc 2028 14.07.2028	200000	215431.8	0.02%
50	8.50 % Nhpc 2029 14.07.2029	200000	216238.6	0.02%

51	8.50 % Nhpc 2030 14.07.2030	4200000	4536197.4	0.50%
52	8.52 % Lic Housing Finance Ltd. 2025 03.03.2025	3000000	3184758	0.35%
53	8.54 % Nhpc 2026 26.11.2026	4000000	4291256	0.48%
54	8.54 % Nhpc Limited 2025 26.11.2025	1000000	1067216	0.12%
55	8.55 % Irfc 2019 15.01.2019	3000000	3085572	0.34%
56	8.55 % Lic Housing Finance Ltd 2025 14.08.2025	3000000	3200856	0.36%
57	8.57% Pnb Housing Finance Ltd. 2023 26.07.2023	50000000	53204550	5.91%
58	8.64% Irfc 2021 17.05.2021	5000000	5266990	0.58%
59	8.65 % Irfc 2024 15/01/2024	40000000	42875680	4.76%
60	8.65 % Power Finance Corporation 2024 28.12.2024	20000000	21371580	2.37%
61	8.70% Pfc 2020 15.01.2020	1000000	1038167	0.12%
62	8.70 % Power Grid Corporation 2028 15/07/2028	9000000	9832257	1.09%
63	8.75% Rec 2025 12.07.2025	1000000	1078545	0.12%
64	8.79% Indian Railway Finance Corp Ltd 2030 04.05	8000000	8971616	1.00%
65	8.85 % Pfc 2030 15.06.2030	5000000	5558730	0.62%
66	8.85% Power Grid Corporation 2023 19.10.2023	3750000	4021110	0.45%
67	8.87% Exim Bank 2029 30.10.2029	4000000	4451248	0.49%
68	8.88 % Exim Bank 18/10/2022	2000000	2131048	0.24%
69	8.93% Power Grid Corp Ltd 2024 20.10.2024	10000000	10842930	1.20%
70	8.94 % Power Finance Corporation 2028 25/03/2028	10000000	11087010	1.23%
71	9.00 % Pfc 2028 11.03.2028	3000000	3338928	0.37%
72	9.00% Steel Authority Of India 2024 13.10.2024	2000000	2091886	0.23%
73	9.17% Ntpc Limited 2024 22.09.2024	20000000	21956120	2.44%
74	9.25 % Exim Bank 2022 18.04.2022	1000000	1076722	0.12%
75	9.58 % Exim Bond 2023 04/10/2023	1000000	1110794	0.12%
	TOTAL	849,923,413	900,629,848	100%

Note 9 - GSEC		As on 30.09.2016		
Sl. No.	Security Description	Face Value (Rs)	Market Value (Rs.)	% to Asset class
1	7.95% Goi 2032	10000000	10768770	0.58%
2	7.59% Goi 2026 11.01.2026	196000000	204325100	11.05%
3	7.59% Goi 2029 20.03.2029	338500000	354375650	19.17%
4	7.72 % Goi 2025 25.05.2025	200000000	208950000	11.30%
5	7.88 % Govt Sec 2030 19.03.2030	381490000	408537641	22.10%
6	8.03 Goi Fci Bond 2024	2000000	2091708	0.11%
7	8.13% Govt Sec 2045 22.06.2045	196190000	218908802	11.84%
8	8.15% Goi 2026 24.11.2026	75000000	80625000	4.36%
9	8.17 % Govt Securities 2044 01.12.2044	16200000	18087300	0.98%
10	8.24 % Goi 2033 10.11.2033	47000000	51935000	2.81%
11	8.30% Goi 2042 31.12.2042	5000000	5637500	0.30%
12	8.32% Goi 2032 02.08.2032	15000000	16611000	0.90%
	8.83% Gsc 2023 25/11/2023	84800000	93354369.6	5.05%
	8.22% J K Spl Sdl 2026 30.03.2026	30000000	31489350	1.70%
	8.29% Kerala Sdl 2025 29.07.2025	1160000	1230258.88	0.07%
	8.29% Rajasthan Sdl 2024 Spl 23.06.2024	12500000	13087475	0.71%
	8.32 % Uttar Pradesh Sdl 2025 Spl 02.06.2025	20000000	21047680	1.14%
	8.50% Bihar Spl Sdl 2025 30.03.2025	9000000	9572238	0.52%
	8.60% Bihar Sdl 2026 09.03.2026	50000000	54195050	2.93%
	8.65% J K Spl Sdl 2028 30.03.2028	30000000	32650380	1.77%
	8.82% Bihar Sdl 2026 24.02.2026	10000000	10983320	0.59%
	TOTAL	1,729,840,000	1,848,463,592	100.00%