

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I**  
**BALANCE SHEET AS AT SEPTEMBER 30,2016**

	Schedules	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Liabilities</b>			
Unit Capital	2	3,768,866,722	2,324,557,130
Reserves and Surplus	3	3,534,342,986	1,667,414,391
Current Liabilities and Provisions	4	26,216,796	964,722
<b>Total</b>		<b><u>7,329,426,504</u></b>	<b><u>3,992,936,243</u></b>
<b>Assets</b>			
Investments	5	7,098,282,822	3,970,764,232
Appreciation of Investment Deposits		-	-
Other Current Assets	6	231,143,682	22,172,011
<b>Total</b>		<b><u>7,329,426,504</u></b>	<b><u>3,992,936,243</u></b>

**NATIONAL PENSION SYSTEM TRUST**  
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**REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2016**

	Schedules	Half Year ended Sept 30, 2016 ₹	Half Year ended Sept 30, 2015 ₹
<b>Income</b>			
Dividend		55,494,747	38,135,367
Interest	7	2	22,819
Profit on sale/redemption of investments	8	32,112,570	9,285,956
Profit on inter-scheme transfer/sale of investments		-	-
Unrealized gain on appreciation in investments		775,169,306	3,330,401
<b>Other income</b>			
- Miscellaneous Income		-	-
<b>Total</b>		<b>862,776,625</b>	<b>50,774,544</b>
<b>Expenses and Losses</b>			
Unrealized losses in value of investments		-	272,014,446
Loss on sale/redemption of investments	9	-	-
Loss on inter-scheme transfer/sale of investments		-	-
Management fees (including service Tax)		323,431	238,871
NPS Trust fees		323,412	
Custodian fees (including service Tax)		291,760	159,228
CRA Fees			
Less: Amount recoverable on sale of units on account of CRA Charges			
Other Expenses, if any		-	-
<b>Total</b>		<b>938,603</b>	<b>272,412,545</b>
<b>Surplus/(Deficit) for the year</b>		861,838,022	(221,638,001)
Net Surplus brought forward from previous year		833,079,685	1,089,913,369
Less: Adjustment for Previous years unrealised appreciation reserve			
Add: Net Change in Unrealised depreciation Reserve during the year			
<b>Amount carried forward to Balance Sheet</b>		<b>1,694,917,707</b>	<b>868,275,368</b>

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**NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016**

Schedule 2	Half Year ended Sept 30, 2016 ₹	Half Year ended Sept 30, 2015 ₹
<b>Unit Capital</b>		
Initial Capital*	2,500	2,500
Outstanding at the beginning of the year	3,197,550,794	2,043,836,826
Add :Units issued during the year	666,089,085	347,030,641
Less: Units redeemed during the year	94,773,157	66,310,337
Outstanding at the end of the year	<u>3,768,866,722</u>	<u>2,324,557,130</u>
<b>(Face Value of Rs.10/- each unit, fully paid up)</b>		
Outstanding units at the beginning of the year	319,755,079	204,383,682.61
Add :Units issued during the year	66,608,908	34,703,064.13
Less: Units redeemed during the year	9,477,316	6,631,033.73
Outstanding Units at the end of the year	<u>376,886,672</u>	<u>232,455,713.01</u>
<b>* Initial Capital represent capital on the date of commencement of scheme</b>		
Schedule 3	Half Year ended Sept 30, 2016 ₹	Half Year ended Sept 30, 2015 ₹
<b>Reserves and Surplus</b>		
<b>Unit Premium Reserve</b>		
Opening Balance	1,367,545,546	582,700,390
Add: Premium on Units issued	552,286,528	269,550,040
Less: Premium on Units redeemed	80,406,795	53,111,407
Closing Balance	<u>1,839,425,279</u>	<u>799,139,023</u>
<b>Appropriation Account</b>		
Surplus/(Deficit) carried forward	1,694,917,707	868,275,368
Closing Balance	<u>1,694,917,707</u>	<u>868,275,368</u>
<b>Unrealised Appreciation Account</b>		
Opening Balance	-	-
Add : Adjustment for Previous years unrealised appreciation reserve	-	-
Less: Transferred to Revenue Account	-	-
Closing Balance	<u>-</u>	<u>-</u>
<b>Total</b>	<u><b>3,534,342,986</b></u>	<u><b>1,667,414,391</b></u>

Schedule 4	Half Year ended Sept 30, 2016 ₹	Half Year ended Sept 30, 2015 ₹
<b>Current Liabilities and Provisions</b>		
<b>Current Liabilities</b>		
Sundry Creditors for expenses	-	-
Book Overdraft	-	-
Redemption Payable	2,290,816	687,779
TDS Payable	-	-
Contract for Purchase of Investments	23,041,957	-
NPS Charges Payable	176,787	-
Management Fee Payable	203,344	113,829
Custodial Charges Payable	503,892	163,114
Amount Payable to Other Schemes	-	-
	<u>26,216,796</u>	<u>964,722</u>

Schedule 5	Half Year ended Sept 30, 2016 ₹	Half Year ended Sept 30, 2015 ₹
<b>Investments (Long Term and Short Term)</b>		
Equity Shares	7,098,282,822	3,970,764,232
Debentures and Bonds Listed/Awaiting Listing	-	-
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	-	-
- Certificates of Deposit	-	-
<b>Total</b>	<u>7,098,282,822</u>	<u>3,970,764,232</u>

Schedule 6	Year ended Sept 30, 2016 ₹	Year ended Sept 30, 2015 ₹
<b>Other Current Assets</b>		
Balances with bank in a current account	638,292	65,044
Contracts for sale of investments	228,379,353	21,300,007
Outstanding and accrued income	-	
Dividend Receivable	2,125,197	806,960
Brokerage receivable from PFM	840	
Application money pending allotment	-	-
Receivable from other scheme	-	-
Others (Mutual Fund - Pending for Unit Allocation)		
	<b>231,143,682</b>	<b>22,172,011</b>

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**NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016**

Schedule 7	For the half year ended September 30, 2016	For the half year ended September 30, 2015
	₹	₹
<b>INTEREST INCOME</b>		
Other Interest		22,819
Bank Interest	2	-
	2	22,819

Schedule 8	For the half year ended September 30, 2016	For the half year ended September 30, 2015
	₹	₹
<b>REALISED GAINS ON SALE OF INVESTMENTS</b>		
Profit on Sale of Equity Shares	26,466,098	8,451,633
Profit on Sale of Corp Bonds	-	-
Profit on Sale of Mutual Fund Scheme Units	5,646,472	834,323
	32,112,570	9,285,956

Schedule 9	For the half year ended September 30, 2016	For the half year ended September 30, 2015
	₹	₹
<b>REALISED LOSSES ON SALE OF INVESTMENTS</b>		
Loss on Sale of Equity Shares	-	-
	-	-

**NATIONAL PENSION SYSTEM TRUST**

**NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I**

**INDEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2016 (Refer Sc**

**Equity Shares**

Sr. No.	Security Description	As on 31.09.2016		
		No. of Units	Mkt. Value (Rs.)	% to Asset Class
1	Acc Ltd.	27070	43,307,940	0.61%
2	Ambuja Cements Ltd	55200	13,896,600	0.20%
3	Asian Paints Limited	67184	77,980,469	1.10%
4	Axis Bank Equity	502125	271,825,369	3.83%
5	Bharat Forge Limited	87300	79,512,840	1.12%
6	Bhartiartl Equity	276085	86,690,690	1.22%
7	Bajaj Auto	30751	86,951,528	1.22%
8	Bosch Ltd	1252	28,542,908	0.40%
9	Bharat Petroleum Corporation Ltd.	156750	96,025,050	1.35%
10	Britannia Industries Limited	4500	15,138,000	0.21%
11	Cipla	69340	40,220,667	0.57%
12	Coal India Ltd.	450976	145,349,565	2.05%
13	Colgate Palmolive	82300	79,884,495	1.13%
14	Dr. Reddy'S Laboratories Limited	32934	102,339,112	1.44%
15	Eicher Motors Limited	1760	43,696,928	0.62%
16	Gas Authority Of India Limited	87851	32,913,377	0.46%
17	Glenmark Pharmaceuticals Limited	90600	83,664,570	1.18%
18	Grasim Industries Ltd	26714	129,203,597	1.82%
19	Hcl Technologies Limited	241638	193,286,236	2.72%
20	Housing Development Finance Corporation Limited	207882	289,673,173	4.08%
21	Hdfc Bank Ltd.	307970	391,999,615	5.52%
22	Hero Motocorp Limited	31004	105,838,355	1.49%
23	Hindustan Unilever Limited	113605	98,592,099	1.39%
24	Icici Equity	1113016	280,646,984	3.95%
25	Indusind Bank Limited	163426	195,449,325	2.75%

Sr. No.	Security Description	As on 31.09.2016		
		No. of Units	Mkt. Value (Rs.)	% to Asset Class
26	Infosys Technologies Limited	362116	375,170,282	5.29%
27	Itc	1187106	286,508,033	4.04%
28	Kotak Bank Equity	236799	183,992,823	2.59%
29	Larsen And Tourbo	232589	333,334,925	4.70%
30	Lic Housing Finance Limited	140500	81,321,400	1.15%
31	Lupin Limited	81143	120,598,784	1.70%
32	Mahindra & Mahindra Equity	150973	212,222,746	2.99%
33	Maruti Equity	57819	316,692,009	4.46%
34	Motherson Sumi Systems Ltd	91000	28,938,000	0.41%
35	National Mineral Development Corporation Ltd	110945	11,737,981	0.17%
36	Ntpc Limited	289227	42,805,596	0.60%
37	Oil & Natural Gas Corporation	252048	64,688,119	0.91%
38	Power Grid Corporation	1160903	204,957,425	2.89%
39	Reliance Industry Limited	319295	346,019,992	4.87%
40	State Bank Of India Equity	1383580	347,624,475	4.90%
41	Sun Pharmaceuticals Equity	259635	192,830,915	2.72%
42	Tata Motors Limited	585412	313,107,608	4.41%
43	Tata Steel	93335	34,930,624	0.49%
44	Tata Consultancy Limited	87765	213,339,162	3.01%
45	Tech Mahindra Limited	140898	59,156,025	0.83%
46	Ultratech Cement Limited	29192	112,434,448	1.58%
47	United Phosphorus Limited	99500	67,048,075	0.94%
48	Wipro Ltd	90180	43,191,711	0.61%
49	Zee Entertainment Enterprises Limited	170100	93,002,176	1.31%
	<b>Total (Rs.)</b>		<b>7,098,282,822</b>	<b>100.00%</b>