

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C SBI PENSION FUND SCHEME –E TIER II

BALANCE SHEET AS AT SEPTEMBER 30,2016

	Schedules	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
Liabilities			
Unit Capital	2	185,275,740	123,209,085
Reserves and Surplus	3	145,636,659	72,119,792
Current Liabilities and Provisions	4	3,073,164	15,870
	Total	333,985,563	195,344,747
Assets			
Investments	5	322,763,341	194,042,229
Deposits	6	-	-
Other Current Assets	7	11,222,222	1,302,517
	Total	333,985,563	195,344,747

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REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2016

Particulars	Half Year ended Sept 30, 2016	Half Year ended Sept 30, 2015
Income		
Dividend	2,464,459	1,859,091
Interest	8	1,106
Profit on sale/redemption of investments	9	721,514
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	33,275,782	-
Other income	-	-
- Miscellaneous Income	-	-
Total	37,107,810	2,581,712
Expenses and Losses		
Unrealized losses in value of investments	-	13,298,271
Loss on sale/redemption of investments	10	-
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	14,215	11,655
NPS Trust fees	14,213	-
Custodian fees (including service Tax)	12,891	8,811
CRA Fees	-	-
Less: Amount recoverable on sale of units on account of CRA Charges	-	-
Other Expenses, if any	-	-
Total	41,319	13,318,737
Surplus/(Deficit) for the year	37,066,491	(10,737,025)
Net Surplus brought forward from previous year	42,781,269	55,917,820
Less: Amount transferred to Unrealised appreciation account	-	-
Add: Amount transferred from General Reserve	-	-
Amount carried forward to Balance Sheet	79,847,760	45,180,795

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016

Schedule 2	As at Sept 30, 2016	As at Sept 30, 2015
	₹	₹
Unit Capital		
Initial Capital*	10,907	10,907
Outstanding at the beginning of the year	153,064,743	107,259,642
Add :Units issued during the year	41,792,479	19,492,831
Less: Units redeemed during the year	9,581,482	3,543,388
Outstanding at the end of the year	185,275,740	123,209,085
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	15,306,474.33	10,725,964.23
Add :Units issued during the year	4,179,247.91	1,949,283.13
Less: Units redeemed during the year	958,148.23	354,338.82
Outstanding Units at the end of the year	18,527,574.01	12,320,908.54
* Initial Capital represent capital on the date of commencement of scheme		
Schedule 3	As at Sept 30, 2016	As at Sept 30, 2015
	₹	₹
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	42,624,009	16,753,256
Add: Premium on Units issued	29,846,856	12,524,774
Less: Premium on Units redeemed	6,681,966	2,339,033
Closing Balance	65,788,899	26,938,997
Appropriation Account		
Surplus/(Deficit) carried forward	79,847,760	45,180,795
Closing Balance	79,847,760	45,180,795
Unrealised Appreciation Account		
Opening Balance	-	-
Add : Adjusment for Previous years unrealised appreciation res	-	-
Less: Transferred to Revenue Account	-	-
Closing Balance	-	-
Total	145,636,659	72,119,792

Schedule 4	As at Sept 30, 2016	As at Sept 30, 2015
	₹	₹
Current Liabilities and Provisions		
Current Liabilities		
Sundry Creditors for expenses	-	-
Book Overdraft	-	-
Redemption Payable	1,001,532	1,358
TDS Payable	-	-
Contract for Purchase of Investments	2,031,158	-
NPS Charges Payable	7,815	-
Management Fee Payable	8,991	5,561
Custodial Charges Payable	23,668	8,951
Amount Payable to Other Schemes	-	-
	3,073,164	15,870

Schedule 5	As at Sept 30, 2016	As at Sept 30, 2015
	₹	₹
Investments (Long Term and Short Term)		
Equity Shares	322,763,341	194,042,229
Debentures and Bonds Listed/Awaiting Listing	-	-
Central and State Government Securities (including treasury bi	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	-	-
- Certificates of Deposit	-	-
Total	322,763,341	194,042,229

Schedule 6	As at Sept 30, 2016	As at Sept 30, 2015
	₹	₹
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 7**As at Sept 30, 2016****As at Sept 30, 2015**

₹

₹

Other Current Assets

Balances with bank in a current account	103,770	63,060
Contracts for sale of investments	11,033,936	1,200,000
Outstanding and accrued income	-	-
Dividend Receivable	84,417	39,457
Brokerage receivable from PFM	99	-
Application money pending allotment	-	-
Receivable from other scheme	-	-
Others (MF - Pending for Unit Allocation)	-	-
	<hr/> 11,222,222	<hr/> 1,302,517

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Schedule 8	For the Half year ended September 30, 2016 ₹	For the Half year ended September 30, 2015 ₹
Interest Income		
Bank Interest	-	-
Interest accrued	-	-
Interest on Long Term Investments	-	-
Daily Accrued Premium Income (Cp/Cd)	-	-
Interest on Long Term Investments	-	1,106
	-	1,106

Schedule 9	For the Half year ended September 30, 2016 ₹	For the Half year ended September 30, 2015 ₹
REALISED GAINS ON SALE / REDEMPTION OF INVESTMENTS		
Profit on Sale of Equity Shares	1,211,671	513,085
Profit on Sale of Isit	-	161,463
Profit on Sale of Mutual Fund Scheme Units	155,898	46,966
	1,367,569	721,514

Schedule 10	For the Half year ended September 30, 2016 ₹	For the Half year ended September 30, 2015 ₹
REALISED LOSSES ON SALE / REDEMPTION OF INVESTMENTS		
Loss on sale of Mutual Funds Units	-	-
Loss on Sale of Equity Shares	-	-
Loss on Sale of Corp Bonds	-	-
Loss on Sale of Gsc	-	-
Loss on Sale of Isit	-	-
	-	-

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016

Note 6A : Equity & Preference Shares

Sr. No.	Security Description	As on 31.09.2016		
		No. of Units	Mkt. Value (Rs.)	% to Asset Class
1	Acc Ltd.	1462	2,338,981	0.72%
2	Ambuja Cements Ltd	2400	604,200	0.19%
3	Asian Paints Limited	3276	3,802,453	1.18%
4	Axis Bank Equity	23441	12,689,785	3.93%
5	Bharat Forge Limited	4750	4,326,300	1.34%
6	Bhartiartl Equity	13048	4,097,072	1.27%
7	Bajaj Auto	1557	4,402,573	1.36%
8	Bosch Ltd	62	1,413,467	0.44%
9	Bharat Petroleum Corporation Ltd.	7474	4,578,572	1.42%
10	Britannia Industries Limited	500	1,682,000	0.52%
11	Cipla	3987	2,312,659	0.72%
12	Coal India Ltd.	17777	5,729,527	1.78%
13	Colgate Palmolive	3400	3,300,210	1.02%
14	Dr. Reddy'S Laboratories Limited	1528	4,748,107	1.47%
15	Eicher Motors Limited	63	1,564,151	0.48%
16	Gas Authority Of India Limited	4112	1,540,561	0.48%
17	Glenmark Pharmaceuticals Limited	4060	3,749,207	1.16%
18	Grasim Industries Ltd	1097	5,305,695	1.64%
19	Hcl Technologies Limited	10264	8,210,174	2.54%
20	Housing Development Finance Corporation Limited	9066	12,633,018	3.91%
21	Hdfc Bank Ltd.	14288	18,186,481	5.63%
22	Hero Motocorp Limited	1336	4,560,703	1.41%
23	Hindustan Unilever Limited	5745	4,985,798	1.54%
24	Icici Equity	50325	12,689,449	3.93%

25	Indusind Bank Limited	7479	8,944,510	2.77%
26	Infosys Technologies Limited	15183	15,730,347	4.87%
27	Itc	58995	14,238,443	4.41%
28	Kotak Bank Equity	11386	8,846,922	2.74%
29	Larsen And Tourbo	9791	14,031,972	4.35%
30	Lic Housing Finance Limited	6800	3,935,840	1.22%
31	Lupin Limited	4279	6,359,664	1.97%
32	Mahindra & Mahindra Equity	5904	8,299,253	2.57%
33	Maruti Equity	2616	14,328,617	4.44%
34	Motherson Sumi Systems Ltd	3000	954,000	0.30%
35	National Mineral Development Corporation Ltd	5443	575,869	0.18%
36	Ntpc Limited	14157	2,095,236	0.65%
37	Oil & Natural Gas Corporation	12311	3,159,618	0.98%
38	Power Grid Corporation	45104	7,963,111	2.47%
39	Reliance Industry Limited	13833	14,990,822	4.64%
40	State Bank Of India Equity	62030	15,585,038	4.83%
41	Sun Pharmaceuticals Equity	12169	9,037,916	2.80%
42	Tata Motors Limited	27191	14,543,106	4.51%
43	Tata Steel	4589	1,717,433	0.53%
44	Tata Consultancy Limited	3955	9,613,814	2.98%
45	Tech Mahindra Limited	6636	2,786,125	0.86%
46	Ultratech Cement Limited	1408	5,422,982	1.68%
47	United Phosphorus Limited	5700	3,840,945	1.19%
48	Wipro Ltd	4443	2,127,975	0.66%
49	Zee Entertainment Enterprises Limited	7650	4,182,638	1.30%
	Total (Rs.)		322,763,341	100.00%