

Details of Votes cast during from 01Oct19 to 31Dec19 , of financial year 2019-2020

Meeting Date	Company Name	Type of Meeting	Proposal by Management or Shareholder	Proposal	Investee company's Management Recommendation	Vote(For/Against/Abstain)	Reason supporting the vote decision	Result of Meeting	Resolution No	ISIN
22-11-2019	Tata Motors Limited	EGM	Management	Issuance of Ordinary Shares and Warrants to Tata Sons Private Limited, promoter of the Company, on a preferential basis	FOR	FOR	Excessive dilution, however, keeping in view the business environment and industry condition, no concern is being raised.	Passed	1	INE155A01022

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29-11-2019	HCL Technologies Limited	PBL	Management	Ordinary Resolution - Increase in Authorized Share Capital and consequent alteration in the Memorandum of Association of the Company	FOR	FOR	Compliant with Law, no governance concern identified.	Passed	1	INE860A01027
29-11-2019	HCL Technologies Limited	PBL	Management	Ordinary Resolution - Issue of Bonus Shares	FOR	FOR	Compliant with Law, no governance concern identified.	Passed	2	INE860A01027

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30-11-2019	Aurobindo Pharma Limited	CCM	Management	Amalgamation of APL Research Centre Limited (Amalgamating Company 1 or Transferor Company 1) and Aurozymes Limited (Amalgamating Company 2 or Transferor Company 2) and Curepro Parenterals Limited (Amalgamating Company 3 or Transferor Company 3) and Hyacinths Pharma Private Limited (Amalgamating Company 4 or Transferor Company 4) and Silicon Life Sciences Private Limited (Amalgamating Company 5 or Transferor Company 5) and APL Healthcare Limited (Amalgamating Company 6 or Transferor Company 6)	FOR	FOR	Compliant with law, no governance issue identified. All the 6 transferor Companies are wholly owned subsidiary/ step down wholly owned subsidiary of the company. No consideration is being paid or any share dilution.	Passed	1	INE406A01037

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03-12-2019	NMDC Limited	PBL	Management	Amendment to 'Articles of Association' with regard to Borrowing Powers	FOR	FOR	Complaint with law. No concern identified.	Passed	1	INE584A01023
03-12-2019	NMDC Limited	PBL	Management	Authorization to offer, issue and allot secured or unsecured Non Convertible Debentures (NCD's) or bonds on private placements aggregating Rs.5000 crores	FOR	FOR	Compliant with law, no governance concern identified.	Passed	2	INE584A01023
03-12-2019	NMDC Limited	PBL	Management	Approval for creation of charge, mortgage or hypothecation on the assets of the Company in connection with borrowings	FOR	FOR	Compliant with law, no governance concern identified.	Passed	3	INE584A01023

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09-12-2019	Lupin Limited	EGM	Management	To approve divestment of its entire interest in Kyowa Pharmaceutical Industry Co. Limited, Japan, a step-down material subsidiary of the Company, by Nanomi B.V., Netherlands, a wholly owned material subsidiary of the Company	FOR	FOR	This transaction enables the Company to strengthen its balance sheet significantly and provide growth capital for its strategic priorities, in particular in US and India	Passed	1	INE326A01037
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10-12-2019	Bank of Baroda	PBL	Management	To create, offer, issue and allot requisite number of Equity Shares of face value of Rs. 2/- (Rupees Two only) each to the Government of India ("GOI") aggregating upto Rs.7,000 Crore on preferential basis inclusive of premium for cash at an Issue Price to be determined in accordance with Regulation 164 of the SEBI (ICDR) Regulations 2018	FOR	FOR	Although, there will be substantial dilution in the shareholding of existing shareholders, since the issue is to improve the Capital Adequacy of the Bank, hence no concern is being raised	Passed	1	INE028A01039
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