

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I**  
**BALANCE SHEET AS AT 30.09.2020**

	Schedules	As at 30.09.2020	As at 30.09.2019
<b>Liabilities</b>			
Unit Capital	2	156475,00,232	106949,96,493
Reserves and Surplus	3	228977,10,521	162628,02,642
Current Liabilities and Provisions	4	218,74,618	170,33,251
<b>Total</b>		<b>385670,85,371</b>	<b>269748,32,386</b>
<b>Assets</b>			
Investments	5	385211,40,929	269596,43,728
Deposits		-	
Other Current Assets	6	459,44,442	151,88,657
<b>Total</b>		<b>385670,85,371</b>	<b>269748,32,386</b>
		-	-

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I**  
**REVENUE ACCOUNT FOR THE HALF YEAR ENDED September 30, 2020**

	Schedules	Half Year ended September 30, 2020	Half Year ended September 30, 2019
<b>Income</b>			
Dividend		2425,43,093	1985,46,723
Interest	7	72,235	-
Profit on sale/redemption of investments	8	280,01,086	629,26,686
Profit on inter-scheme transfer/sale of investments		-	-
Unrealized gain on appreciation in investments		74102,56,108	
<b>Other income</b>			
- Miscellaneous Income		-	-
<b>Total</b>		<b>76808,72,522</b>	<b>2614,73,408</b>
<b>Expenses and Losses</b>			
Unrealized losses in value of investments		84,62,280	5604,33,784
Loss on sale/redemption of investments	9	-	1132,35,173
Loss on inter-scheme transfer/sale of investments		-	-
Management fees		19,73,115	12,65,090
NPS Trust fees		8,36,066	2,12,855
Custodian fees		5,68,100	3,71,829
Depository and settlement charges		30,94,038	2,94,645
CRA Fees			
Less: Amount recoverable by sale of units on account of CRA Charges			
Provision for Non-Performing Assets		-	-
<b>Total</b>		<b>149,33,597</b>	<b>6758,13,376</b>
<b>Surplus/(Deficit) for the year</b>		76659,38,925	(4143,39,967)
Net Surplus brought forward from previous year		10018,69,123	8202,32,751
Less: Adjustment for Previous years unrealised appreciation reserve			
Add: Net Change in Unrealised depreciation Reserve during the year		74017,93,828	5604,33,784
<b>Amount carried forward to Balance Sheet</b>		<b>12660,14,220</b>	<b>9663,26,568</b>

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I**  
**NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT September 30, 2020**

Schedule 2	As at 30.09.2020	As at 30.09.2019
<b>Unit Capital</b>		
Initial Capital*	2,500	2,500
Outstanding at the beginning of the year	130541,74,390	94365,33,630
Add :Units issued during the year	30132,26,969	20607,61,895
Less: Units redeemed during the year	4199,01,128	8022,99,031
Outstanding at the end of the year	<u>156475,00,232</u>	<u>106949,96,493</u>
<b>(Face Value of Rs.10/- each unit, fully paid up)</b>		
Outstanding units at the beginning of the year	13054,17,439	9436,53,362.97
Add :Units issued during the year	3013,22,697	2060,76,189.46
Less: Units redeemed during the year	419,90,113	802,29,903.10
Outstanding Units at the end of the year	<u>15647,50,023</u>	<u>10694,99,649.33</u>
<b>* Initial Capital represent capital on the date of commencement of scheme</b>		
Schedule 3	As at 30.09.2020	As at 30.09.2019
<b>Reserves and Surplus</b>		
<b>Unit Premium Reserve</b>		
Opening Balance	143214,97,640	90061,42,397
Add: Premium on Units issued	37213,92,281	23796,84,408
Less: Premium on Units redeemed	5544,36,600	4823,80,229
Closing Balance	<u>174884,53,321</u>	<u>109034,46,576</u>
<b>Appropriation Account</b>		
Surplus/(Deficit) carried forward	12660,14,220	9663,26,568
Closing Balance	<u>12660,14,220</u>	<u>9663,26,568</u>
<b>Unrealised Appreciation Account</b>		
Opening Balance	(32585,50,848)	49534,63,282
Add : Adjustment for Previous years unrealised appreciation reserve	-	-
Less: Transferred to Revenue Account	74017,93,828	5604,33,784
Closing Balance	<u>41432,42,980</u>	<u>43930,29,498</u>
<b>Total</b>	<u><u>228977,10,521</u></u>	<u><u>162628,02,642</u></u>

Schedule 4	As at 30.09.2020	As at 30.09.2019
<b>Current Liabilities and Provisions</b>		
<b>Current Liabilities</b>		
<b>Sundry Creditors for expenses</b>		
NPS Charges Payable	1,58,594	2,12,855
Management Fee Payable	10,04,634	6,71,000
Custodial Charges Payable	3,11,686	2,95,574
Depository and settlement charges payable	1,02,640	
Redemption Payable	202,41,047	157,95,019
TDS Payable	56,017	58,802
Contract for Purchase of Investments		
Amount Payable to Other Schemes	-	-
Provision for Interest overdue	-	-
Provision on upgraded Assets	-	-
Interest received in advance	-	-
	<b>218,74,618</b>	<b>170,33,251</b>

Schedule 5	As at 30.09.2020	As at 30.09.2019
<b>Investments (Long Term and Short Term)</b>		
Equity Shares	369529,87,161	212899,69,243
Debentures and Bonds Listed/Awaiting Listing	-	83,44,988
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Alternative Investment Funds *		
Basel III Tier I bonds		
Others - Mutual Fund Units	15681,53,817	56613,29,498
- Certificates of Deposit	-	-
<b>Total</b>	<b>385211,40,978</b>	<b>269596,43,729</b>

\*(Category I and Category II Only)/REITs/INVITs/Asset Backed Securities/Commercial mortgage based Securities or Residential mortgage based securities)

Schedule 6	As at 30.09.2020	As at 30.09.2019
<b>Other Current Assets</b>		
Balances with bank in a current account	153,31,138	6,91,074
Contracts for sale of investments		134,88,602
Dividend Receivable	306,13,304	10,05,608
Brokerage receivable from PFM		3,373
Outstanding and accrued income		-
Application money pending allotment		
	<b>459,44,442</b>	<b>151,88,657</b>

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I**  
**NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT September 30, 2020**

Schedule 7	Half Year ended September 30, 2020	Half Year ended September 30, 2019
<b>INTEREST INCOME</b>		
Other Interest		
Bank Interest	72,235	
	<u>72,235</u>	-

Schedule 8	Half Year ended September 30, 2020	Half Year ended September 30, 2019
<b>REALISED GAINS ON SALE OF INVESTMENTS</b>		
Profit on Sale of Equity Shares		232,43,274
Profit on Sale of Corp Bonds	87,03,273	-
Profit on Sale of Mutual Fund Scheme Units	192,97,813	396,83,412
	<u>280,01,086</u>	<u>629,26,686</u>

Schedule 9	Half Year ended September 30, 2020	Half Year ended September 30, 2019
<b>REALISED LOSSES ON SALE OF INVESTMENTS</b>		
Loss on Sale of Equity Shares		1132,35,173
	-	<u>1132,35,173</u>

NATIONAL PENSION SYSTEM TRUST  
SM001003-NPS TRUST- A/C SBI PENSION FUND SCHEME E - TIER I

Bonds							
Sr.No.	Security Description	As On 30-09-2020			As On 30-09-2019		
		Face Value (Rs.)	Market Value(Rs.)	% to Assets Class	Face Value (Rs.)	Market Values(Rs.)	% to Assets Class
1	8.00% BRITANNIA INDUSTRIES LIMITED 28.08.2022	0.00	0.00	0.00	81,64,000.00	83,44,987.72	100.00
	<b>Total:</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>81,64,000.00</b>	<b>83,44,987.72</b>	<b>100.00</b>
Equity Shares							
Sr.No.	Security Description	As On 30-09-2020			As On 30-09-2019		
		No.Of Units	Market Value(Rs.)	% to Assets Class	No.Of Units	Market Values(Rs.)	% to Assets Class
1	AMBUJA CEMENTS LTD	8,25,700.00	1791,76,900.00	0.48	8,25,700.00	1684,01,515.00	0.66
2	ASIAN PAINTS LIMITED	4,42,134.00	8782,54,977.60	2.38	2,31,134.00	4072,92,778.10	1.59
3	ASHOK LEYLAND LIMITED	12,29,000.00	914,37,600.00	0.25	12,29,000.00	844,32,300.00	0.33
4	AUROBINDO PHARMA LTD	2,21,300.00	1762,65,450.00	0.48	2,21,300.00	1303,45,700.00	0.51
5	AXIS BANK EQUITY	23,62,002.00	10030,24,149.30	2.71	15,20,875.00	10417,99,375.00	4.06
6	BAJAJ FINANCE LIMITED	1,56,539.00	5132,28,765.40	1.39	0.00	0.00	0.00
7	BAJAJ FINSERV LIMITED	42,500.00	2487,24,875.00	0.67	0.00	0.00	0.00
8	BHARAT ELECTRONICS LIMITED	3,85,000.00	368,63,750.00	0.10	3,85,000.00	416,95,500.00	0.16
9	BHARAT FORGE LIMITED	1,79,600.00	803,79,980.00	0.22	1,79,600.00	801,64,460.00	0.31
10	BHARTAT 22 ETF	49,71,669.00	1200,65,806.35	0.32	49,71,669.00	1731,63,231.27	0.67
11	BHARTARTL EQUITY	26,29,344.00	11068,22,356.80	3.00	12,38,344.00	4545,34,165.20	1.77
12	BAJAJ AUTO	85,451.00	2461,92,876.10	0.67	65,451.00	1925,43,751.80	0.75
13	BANK OF BARODA	32,40,000.00	1330,02,000.00	0.36	18,90,000.00	1758,64,500.00	0.68
14	BHARAT PETROLEUM CORPORATION LTD.	11,51,375.00	4064,92,943.75	1.10	7,91,375.00	3720,25,387.50	1.45
15	BRITANNIA INDUSTRIES LIMITED	1,28,640.00	4885,94,016.00	1.32	81,640.00	2403,60,406.00	0.94
16	CIPLA	5,91,502.00	4562,36,599.40	1.24	4,41,502.00	1878,59,101.00	0.73
17	COAL INDIA LTD.	10,36,676.00	1202,54,416.00	0.33	7,76,676.00	1552,18,698.60	0.60
18	COLGATE PALMOLIVE	1,78,700.00	2561,66,450.00	0.69	1,71,200.00	2574,76,240.00	1.00
19	CPSE ETF	109,87,286.00	1805,21,108.98	0.49	109,87,286.00	2618,27,025.38	1.02
20	DABUR	6,40,000.00	3267,52,000.00	0.88	3,27,500.00	1464,74,375.00	0.57
21	DR. REDDY'S LABORATORIES LIMITED	84,715.00	4394,84,477.00	1.19	53,715.00	1451,51,358.75	0.57
22	EICHER MOTORS LIMITED	1,42,100.00	3130,17,880.00	0.85	2,010.00	357,08,052.00	0.14
23	GAS AUTHORITY OF INDIA LIMITED	20,77,848.00	1803,57,206.40	0.49	15,72,848.00	2115,48,056.00	0.82
24	GODREJ CONSUMER PRODUCTS	1,88,667.00	1367,74,141.65	0.37	1,48,667.00	1021,78,829.10	0.40
25	GRASIMI INDUSTRIES LTD	2,10,970.00	1570,03,874.00	0.42	2,10,970.00	1540,29,197.00	0.60
26	HAVELLS INDIA PVT	1,35,000.00	915,09,750.00	0.25	0.00	0.00	0.00
27	HCL TECHNOLOGIES LIMITED	9,33,134.00	7573,31,554.40	2.05	4,04,067.00	4366,34,800.20	1.70
28	HOUSING DEVELOPMENT FINANCE CORPORATION LIMITED	8,42,082.00	14652,64,784.10	3.97	4,97,332.00	9832,50,230.60	3.83
29	HDFC BANK LTD.	27,86,990.00	30060,47,414.00	8.13	14,82,490.00	18196,82,350.50	7.09
30	HERO MOTOCORP LIMITED	1,18,004.00	3713,93,989.20	1.01	91,204.00	2466,84,019.00	0.96
31	HINDUSTAN UNILEVER LIMITED	7,53,155.00	15577,12,828.75	4.22	3,88,155.00	7693,03,802.25	3.00
32	ICICI EQUITY	62,00,738.00	21997,11,805.50	5.95	42,61,038.00	18480,12,180.60	7.20
33	INDUSIND BANK LIMITED	2,82,326.00	1488,98,732.40	0.40	2,82,326.00	3906,12,137.30	1.52
34	INFOSYS TECHNOLOGIES LIMITED	27,51,566.00	27742,66,419.50	7.51	19,83,366.00	15978,98,817.90	6.22
35	INDIAN OIL CORPORATION LIMITED	22,99,936.00	1699,65,270.40	0.46	16,99,936.00	2505,70,566.40	0.98
36	ITC	76,78,428.00	13183,86,087.60	3.57	51,93,428.00	13495,12,265.80	5.25
37	KOTAK BANK EQUITY	14,29,125.00	18124,16,325.00	4.90	8,38,625.00	13790,76,881.25	5.37
38	LIC HOUSING FINANCE LIMITED	4,29,950.00	1185,80,210.00	0.32	3,69,950.00	1393,41,667.50	0.54
39	LARSEN AND TOURBO	10,83,960.00	9772,98,336.00	2.64	9,50,660.00	14015,10,505.00	5.46
40	LUPIN LIMITED	1,42,143.00	1431,94,858.20	0.39	1,42,143.00	1017,31,745.10	0.40
41	MARUTI EQUITY	1,20,219.00	8106,90,815.55	2.19	1,20,219.00	8073,66,760.20	3.14
42	MAHINDRA & MAHINDRA EQUITY	9,95,035.00	6048,81,776.50	1.64	7,30,035.00	3994,38,650.25	1.56
43	MOTHERSON SUMI SYSTEMS LTD	8,52,148.00	978,69,197.80	0.26	8,52,148.00	894,32,932.60	0.35
44	NATIONAL MINERAL DEVELOPMENT CORPORATION LTD	60,945.00	50,12,726.25	0.01	60,945.00	54,05,821.50	0.02
45	NTPC LIMITED	46,24,952.00	3935,83,415.20	1.07	33,79,952.00	3971,44,360.00	1.55
46	OIL & NATURAL GAS CORPORATION	19,96,672.00	1382,69,536.00	0.37	15,21,672.00	2005,56,369.60	0.78
47	POWER GRID CORPORATION	23,73,503.00	3855,75,562.35	1.04	18,98,503.00	3778,97,022.15	1.47
48	RELIANCE INDUSTRY LIMITED	18,36,640.00	41036,96,584.00	11.11	12,94,340.00	17243,84,465.00	6.71
49	RELIANCE INDUSTRY LIMITED RIGHTS	1,10,109.00	1479,31,441.50	0.40	0.00	0.00	0.00
50	SBI CARDS EQUITY	22,216.00	188,30,281.60	0.05	0.00	0.00	0.00
51	STATE BANK OF INDIA EQUITY	38,49,868.00	7137,65,527.20	1.93	32,54,868.00	8814,18,254.40	3.43
52	SUN PHARMACEUTICALS EQUITY	7,73,535.00	3871,15,590.75	1.05	6,33,535.00	2467,30,205.75	0.96
53	TATA MOTORS LIMITED	11,64,462.00	1552,22,784.60	0.42	11,64,462.00	1367,66,061.90	0.53
54	TATA STEEL	2,39,768.00	862,56,538.00	0.23	2,39,768.00	864,36,364.00	0.34
55	TATA CONSULTANCY LIMITED	7,21,522.00	17982,49,280.60	4.87	4,81,522.00	10108,59,134.60	3.94
56	TECH MAHINDRA LIMITED	6,20,998.00	4916,75,166.50	1.33	4,60,998.00	3293,83,071.00	1.28
57	TITAN EQUITY	70,500.00	846,95,175.00	0.23	0.00	0.00	0.00
58	ULTRATECH CEMENT LIMITED	1,37,942.00	5866,03,026.10	1.51	77,342.00	3357,60,957.50	1.31
59	UNITED PHOSPHORUS LIMITED	4,85,800.00	2443,08,820.00	0.66	3,55,800.00	2148,85,410.00	0.84
60	WIPRO LTD	7,63,641.00	2394,39,635.55	0.65	7,63,641.00	1831,21,111.80	0.71
61	YES BANK	0.00	0.00	0.00	5,22,500.00	216,31,500.00	0.08
62	ZEE ENTERTAINMENT ENTERPRISES LIMITED	3,50,803.00	732,82,746.70	0.20	3,50,803.00	931,38,196.50	0.36
63	ACC LTD.	1,61,440.00	2249,58,568.00	0.61	1,28,940.00	2073,54,861.00	0.81
	<b>Total:</b>	<b>843,87,973.00</b>	<b>369529,87,160.53</b>	<b>100.00</b>	<b>652,00,175.00</b>	<b>256830,27,481.85</b>	<b>100.00</b>