

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C SBI PENSION FUND SCHEME –E TIER II

BALANCE SHEET AS AT 30.09.2020

	Schedules	As at 30.09.2020	As at 30.09.2019
Liabilities			
Unit Capital	2	6720,27,158	4961,07,121
Reserves and Surplus	3	8531,18,013	6572,26,686
Current Liabilities and Provisions	4	47,97,903	24,83,157
	Total	15299,43,074	11558,16,964
Assets			
Investments	5	15255,21,388	11552,09,252
Deposits	6	-	-
Other Current Assets	7	44,21,687	6,07,712
	Total	15299,43,074	11558,16,964

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REVENUE ACCOUNT FOR THE HALF YEAR ENDED September 30, 2020

Particulars	Half Year ended September 30, 2020	Half Year ended September 30, 2019
Income		
Dividend	95,44,023	84,17,892
Interest	8 3,403	-
Profit on sale/redemption of investments	9 12,08,864	27,14,972
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	2987,31,127	
Other income		
- Miscellaneous Income	-	-
Total	3094,87,417	111,32,864
Expenses and Losses		
Unrealized losses in value of investments	3,66,934	230,33,737
Loss on sale/redemption of investments	10 -	51,50,144
Loss on inter-scheme transfer/sale of investments		-
Management fees	78,322	53,627
NPS Trust fees	33,187	9,133
Custodian fees	22,484	15,561
Depository and settlement charges	1,65,257	12,453
CRA Fees		
Less: Amount recoverable on sale of units on account of CRA Charges		
GST		
Provision for Non-Performing Assets	-	-
Total	6,66,184	282,74,655
Surplus/(Deficit) for the year	3088,21,233	(171,41,791)
Net Surplus brought forward from previous year	457,90,524	390,37,539
Less: Amount transferred to Unrealised appreciation account	2983,64,193	(230,33,737)
Add: Amount transferred from General Reserve		-
Amount carried forward to Balance Sheet	562,47,565	449,29,485

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT September 30, 2020

Schedule 2	As at 30.09.2020	As at 30.09.2019
Unit Capital		
Initial Capital*	10,907	10,907
Outstanding at the beginning of the year	5802,88,958	4292,63,440
Add :Units issued during the year	1823,30,173	1798,78,670
Less: Units redeemed during the year	905,91,974	1130,34,990
Outstanding at the end of the year	6720,27,158	4961,07,121
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	580,28,895.80	429,26,344.03
Add :Units issued during the year	182,33,017.34	179,87,867.04
Less: Units redeemed during the year	90,59,197.38	113,03,499.00
Outstanding Units at the end of the year	672,02,715.76	496,10,712.08
* Initial Capital represent capital on the date of commencement of scheme		
Schedule 3	As at 30.09.2020	As at 30.09.2019
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	5154,46,526	3227,01,124
Add: Premium on Units issued	1997,80,479	1514,56,739
Less: Premium on Units redeemed	1012,78,445	635,86,236
Closing Balance	6139,48,560	4105,71,627
Appropriation Account		
Surplus/(Deficit) carried forward	562,47,565	449,29,485
Closing Balance	562,47,565	449,29,485
Unrealised Appreciation Account		
Opening Balance	(1154,42,305)	2247,59,311
Add : Adjustment for Previous years unrealised appreciation reserve		
Less: Transferred to Revenue Account	2983,64,193	230,33,737
Closing Balance	1829,21,888	2017,25,574
Total	8531,18,013	6572,26,686

Schedule 4	As at 30.09.2020	As at 30.09.2019
Current Liabilities and Provisions		
Current Liabilities		
Sundry Creditors for expenses		
NPS Charges Payable	6,262	9,133
Management Fee Payable	39,559	28,763
Custodial Charges Payable	12,783	12,950
Depository and settlement charges payable	4,252	
Book Overdraft	-	-
Redemption Payable	47,32,799	24,29,812
TDS Payable	2,248	2,499
Contract for Purchase of Investments		
Amount Payable to Other Schemes	-	-
Provision for Interest overdue	-	-
Provision on upgraded Assets	-	-
Interest received in advance	-	-
	47,97,903	24,83,157

Schedule 5	As at 30.09.2020	As at 30.09.2019
Investments (Long Term and Short Term)		
Equity Shares	14853,23,380	11023,47,306
Debentures and Bonds Listed/Awaiting Listing	-	3,61,848
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Alternative Investment Funds *		
Basel III Tier I bonds		
Others - Mutual Fund Units	401,97,989	525,00,000
- Certificates of Deposit	-	-
Total	15255,21,369	11552,09,154

*(Category I and Category II Only)/REITs/INVITs/Asset Backed Securities/Commercial mortgage based Securities or Residential mortgage based securities)

Schedule 6	As at 30.09.2020	As at 30.09.2019
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 7	As at 30.09.2020	As at 30.09.2019
Other Current Assets		
Balances with bank in a current account	32,04,604	50,182
Contracts for sale of investments		5,10,907
Outstanding and accrued income		-
Dividend Receivable	12,17,083	46,495
Brokerage receivable from PFM		128
Application money pending allotment		
	44,21,687	6,07,712

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NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME –E TIER II

Schedule 8	Half Year ended September 30, 2020	Half Year ended September 30, 2019
Interest Income		
Bank Interest	-	
Interest accrued	3,403	
Interest on Long Term Investments	-	
Daily Accrued Premium Income (Cp/Cd)	-	
Interest on Long Term Investments	-	
	3,403	-
	3,403	-

Schedule 9	Half Year ended September 30, 2020	Half Year ended September 30, 2019
REALISED GAINS ON SALE / REDEMPTION OF INVESTMENTS		
Profit on Sale of Equity Shares		10,51,262
Profit on Sale of Mutual Fund Scheme Units	8,31,481	16,63,710
Profit on Sale of Corp Bonds	3,77,383	
	12,08,864	27,14,972
	12,08,864	27,14,972

Schedule 10	Half Year ended September 30, 2020	Half Year ended September 30, 2019
REALISED LOSSES ON SALE / REDEMPTION OF INVESTMENTS		
Loss on sale of Mutual Funds Units	-	-
Loss on Sale of Equity Shares		51,50,144
Loss on Sale of Corp Bonds	-	-
Loss on Sale of Gsc	-	-
Loss on Sale of Isit	-	
	-	51,50,144
	-	51,50,144

NATIONAL PENSION SYSTEM TRUST
SM001006-NPS TRUST- A/C SBI PENSION FUND SCHEME E - TIER II

Bonds							
Sr.No.	Security Description	As On 30-09-2020			As On 30-09-2019		
		Face Value (Rs.)	Market Value(Rs.)	% to Assets Class	Face Value (Rs.)	Market Values(Rs.)	% to Assets Class
1	8.00% BRITANNIA INDUSTRIES LIMITED 28.08.2022	0.00	0.00	0.00	3,54,000.00	3,61,847.83	100.00
	Total:	0.00	0.00	0.00	3,54,000.00	3,61,847.83	100.00
Equity Shares							
Sr.No.	Security Description	As On 30-09-2020			As On 30-09-2019		
		No.Of Units	Market Value(Rs.)	% to Assets Class	No.Of Units	Market Values(Rs.)	% to Assets Class
1	SBI CARDS EQUITY	944.00	8,00,134.40	0.05	0.00	0.00	0.00
2	STATE BANK OF INDIA EQUITY	1,60,005.00	296,64,927.00	2.00	1,39,505.00	377,77,954.00	3.43
3	SUN PHARMACEUTICALS EQUITY	27,969.00	139,97,086.05	0.94	26,969.00	105,03,077.05	0.95
4	TATA MOTORS LIMITED	53,916.00	71,87,002.80	0.48	53,916.00	63,32,434.20	0.57
5	TATA STEEL	5,323.00	19,14,949.25	0.13	5,323.00	19,18,941.50	0.17
6	TATA CONSULTANCY LIMITED	28,386.00	707,46,427.80	4.76	20,636.00	433,21,154.80	3.93
7	TECH MAHINDRA LIMITED	20,806.00	164,73,150.50	1.11	19,306.00	137,94,137.00	1.25
8	TITAN EQUITY	2,750.00	33,03,712.50	0.22	0.00	0.00	0.00
9	ULTRATECH CEMENT LIMITED	4,758.00	192,67,758.90	1.30	3,308.00	143,60,855.00	1.30
10	UNITED PHOSPHORUS LIMITED	20,100.00	101,08,290.00	0.68	16,350.00	98,74,582.50	0.90
11	WIPRO LTD	34,059.00	106,79,199.45	0.72	34,059.00	81,67,348.20	0.74
12	YES BANK	0.00	0.00	0.00	23,250.00	9,62,550.00	0.09
13	ZEE ENTERTAINMENT ENTERPRISES LIMITED	15,015.00	31,36,633.50	0.21	15,015.00	39,86,482.50	0.36
14	CIPLA	24,647.00	190,94,030.90	1.29	18,697.00	79,55,573.50	0.72
15	COAL INDIA LTD.	42,727.00	49,56,332.00	0.33	32,727.00	65,40,490.95	0.59
16	COLGATE PALMOLIVE	7,350.00	105,36,225.00	0.71	7,250.00	109,03,637.50	0.99
17	CPSE ETF	3,92,403.00	64,47,181.29	0.43	3,92,403.00	93,50,963.49	0.85
18	DABUR	26,250.00	134,01,937.50	0.90	13,750.00	61,49,687.50	0.56
19	DR. REDDY'S LABORATORIES LIMITED	3,428.00	177,83,778.40	1.20	2,278.00	61,55,725.50	0.56
20	EICHER MOTORS LIMITED	5,630.00	124,01,764.00	0.84	83.00	14,74,511.60	0.13
21	GAS AUTHORITY OF INDIA LIMITED	84,656.67	73,48,198.96	0.49	67,656.67	90,99,822.12	0.83
22	GODREJ CONSUMER PRODUCTS	7,675.00	55,63,991.25	0.37	6,175.00	42,44,077.50	0.39
23	GRASIM INDUSTRIES LTD	9,670.00	71,96,414.00	0.48	9,670.00	70,60,067.00	0.64
24	HAVELLS INDIA PVT	5,350.00	36,26,497.50	0.24	0.00	0.00	0.00
25	HCL TECHNOLOGIES LIMITED	38,668.00	313,82,948.80	2.11	17,334.00	187,31,120.40	1.70
26	HOUSING DEVELOPMENT FINANCE CORPORATION LIMITED	33,366.00	580,58,508.30	3.91	21,366.00	422,41,650.30	3.83
27	HDFC BANK LTD.	1,17,026.00	1262,24,243.60	8.50	63,776.00	782,81,851.20	7.10
28	HERO MOTOCORP LIMITED	3,836.00	120,73,042.80	0.81	3,836.00	103,75,421.00	0.94
29	HINDUSTAN UNILEVER LIMITED	28,680.00	593,17,410.00	3.99	16,630.00	329,59,828.50	2.99
30	ICICI EQUITY	2,61,933.00	929,20,731.75	6.26	1,81,533.00	787,30,862.10	7.14
31	INDUSIND BANK LIMITED	12,704.00	67,00,089.60	0.45	12,704.00	175,76,619.20	1.59
32	INFOSYS TECHNOLOGIES LIMITED	1,08,998.00	1098,97,233.50	7.40	85,748.00	690,82,876.20	6.27
33	INDIAN OIL CORPORATION LIMITED	90,200.00	66,65,780.00	0.45	76,700.00	113,05,580.00	1.03
34	ITC	3,18,645.00	547,11,346.50	3.68	2,27,645.00	591,53,553.25	5.37
35	KOTAK BANK EQUITY	54,586.00	692,25,965.20	4.66	35,986.00	591,77,177.70	5.37
36	LIC HOUSING FINANCE LIMITED	17,350.00	47,85,130.00	0.32	15,600.00	58,75,740.00	0.53
37	LARSEN AND TOURBO	44,275.00	399,18,340.00	2.69	40,845.00	602,15,741.25	5.46
38	LUPIN LIMITED	5,969.00	60,13,170.60	0.40	5,969.00	42,72,013.30	0.39
39	MARUTI EQUITY	5,061.00	341,28,600.45	2.30	5,061.00	339,88,663.80	3.08
40	MAHINDRA & MAHINDRA EQUITY	41,298.00	251,05,054.20	1.69	31,548.00	172,61,488.20	1.57
41	MOTHERSON SUMI SYSTEMS LTD	38,692.00	44,43,776.20	0.30	38,692.00	40,60,725.40	0.37
42	NATIONAL MINERAL DEVELOPMENT CORPORATION LTD	2,943.00	2,42,061.75	0.02	2,943.00	2,61,044.10	0.02
43	NTPC LIMITED	1,80,938.00	153,97,823.80	1.04	1,44,938.00	170,30,215.00	1.54
44	OIL & NATURAL GAS CORPORATION	80,750.00	55,91,937.50	0.38	67,250.00	88,63,550.00	0.80
45	POWER GRID CORPORATION	94,079.00	152,83,133.55	1.03	82,079.00	163,37,824.95	1.48
46	ACC LTD.	6,462.00	90,04,473.90	0.61	5,462.00	87,83,715.30	0.80
47	AMBUJA CEMENTS LTD	35,550.00	77,14,350.00	0.52	35,550.00	72,50,422.50	0.66
48	ASIAN PAINTS LIMITED	17,376.00	345,15,686.40	2.32	9,926.00	174,91,100.90	1.59
49	ASHOK LEYLAND LIMITED	50,850.00	37,83,240.00	0.25	50,850.00	34,93,395.00	0.32
50	AUROBINDO PHARMA LTD	7,050.00	56,15,325.00	0.38	7,050.00	41,52,450.00	0.38
51	AXIS BANK EQUITY	92,943.00	394,68,244.95	2.66	67,191.00	460,25,835.00	4.18
52	BAJAJ FINANCE LIMITED	9,000.00	295,07,400.00	1.99	0.00	0.00	0.00
53	BAJAJ FINSERV LIMITED	1,475.00	86,32,216.25	0.58	0.00	0.00	0.00
54	BHARAT ELECTRONICS LIMITED	17,750.00	16,99,562.50	0.11	17,750.00	19,22,325.00	0.17
55	BHARAT FORGE LIMITED	9,850.00	44,08,367.50	0.30	9,850.00	43,96,547.50	0.40
56	BHARTAT 22 ETF	1,77,231.00	42,80,128.65	0.29	1,77,231.00	61,72,955.73	0.56
57	BHARTIARTL EQUITY	1,08,249.00	455,67,416.55	3.07	53,299.00	195,63,397.95	1.77
58	BAJAJ AUTO	2,957.00	85,19,412.70	0.57	2,957.00	86,98,902.60	0.79
59	BANK OF BARODA	1,29,750.00	53,26,237.50	0.36	79,750.00	74,20,737.50	0.67
60	BHARAT PETROLEUM CORPORATION LTD.	43,945.00	155,14,782.25	1.04	34,195.00	160,75,069.50	1.46
61	BRITANNIA INDUSTRIES LIMITED	5,090.00	193,32,583.50	1.30	3,540.00	104,22,291.00	0.95
62	RELIANCE INDUSTRY LIMITED	72,666.00	1623,61,277.10	10.93	56,116.00	747,60,541.00	6.78
63	RELIANCE INDUSTRY LIMITED RIGHTS	4,727.00	63,50,724.50	0.43	0.00	0.00	0.00
	Total:	33,56,735.67	14853,23,380.30	100.00	26,97,226.67	11023,47,305.74	100.00