

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U66020MH2007GOI176787

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AALCS8700K

(ii) (a) Name of the company

SBI PENSION FUNDS PRIVATE L

(b) Registered office address

SBI Pension Funds (P) Limited, Maker chambers III
Nariman point
Mumbai
Mumbai City
Maharashtra
400021

(c) *e-mail ID of the company

cs@sbipensionfunds.com

(d) *Telephone number with STD code

02242147121

(e) Website

<https://www.sbipensionfunds.>

(iii) Date of Incorporation

14/12/2007

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 29/07/2021

(b) Due date of AGM 30/09/2021

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K4	Pension Fund activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	State Bank of India		Holding	60

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	100,000,000	30,000,000	30,000,000	30,000,000
Total amount of equity shares (in Rupees)	1,000,000,000	300,000,000	300,000,000	300,000,000

Number of classes 1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	100,000,000	30,000,000	30,000,000	30,000,000

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	1,000,000,000	300,000,000	300,000,000	300,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0	0		

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	20	29,999,980	30000000	300,000,000	300,000,000	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0

viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	20	29,999,980	30000000	300,000,000	300,000,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☒

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		05/08/2020	
Date of registration of transfer (Date Month Year)		22/08/2020	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	10	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	16		
Transferor's Name	Singh	Chandra Bhushan	Shree Prakash
	Surname	middle name	first name
Ledger Folio of Transferee	19		

Transferee's Name	<input type="text" value="Kumar"/>	<input type="text" value="Kanta Prasad Singh"/>	<input type="text" value="Devendra"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

196,882,690

(ii) Net worth of the Company

441,132,015

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	20	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	17,999,980	60	0	
5.	Financial institutions	12,000,000	40	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	30,000,000	100	0	0

Total number of shareholders (promoters)

5

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	0	0	0	0
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Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

5

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	5	5
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	0	4	0	4	0	0
(i) Non-Independent	0	4	0	4	0	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	1	3	1	3	0	0
(i) Banks & FIs	1	3	1	3	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	7	1	7	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
DINESH KUMAR KHAR	06737041	Nominee director	10	09/04/2021
VIJAY KUMAR GUPTA	00023101	Director	0	20/05/2021
GITIKA KAPOOR	01815183	Director	0	
SAURABH CHANDRA	02726077	Director	0	
CHINTAMAN MAHADE	00524318	Director	0	
RAJ VIKASH VERMA	03546341	Nominee director	0	
NARAYANAN SADANA	07263104	Managing Director	0	
SUNDER LAL BHATTI	09108767	Nominee director	0	10/07/2021
VENKATA RATNAM VA	ABBPV1259J	CFO	0	
TEJAS JAYESH MEHT	BASPM0817J	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
HARIDAS KOOTHUR V	07998194	Nominee director	31/05/2020	Cessation
NAVNEET MUNOT	05247228	Nominee director	21/12/2020	Cessation
NARAYANAN SADANA	07263104	Managing Director	31/03/2021	Cessation
RAJ VIKASH VERMA	03546341	Nominee director	15/12/2020	Appointment
SUNDER LAL BHATTI	09108767	Nominee director	16/03/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	05/08/2020	5	3	99.99

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	23/04/2020	8	8	100
2	13/07/2020	7	7	100
3	15/10/2020	7	7	100
4	21/01/2021	7	7	100
5	26/03/2021	8	8	100

C. COMMITTEE MEETINGS

Number of meetings held

12

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	23/04/2020	3	3	100
2	Audit Committee	13/07/2020	3	3	100
3	Audit Committee	15/10/2020	3	3	100
4	Audit Committee	21/01/2021	3	3	100
5	Audit Committee	26/03/2021	3	3	100
6	Investment Committee	23/04/2020	4	4	100
7	Investment Committee	13/07/2020	4	4	100
8	Investment Committee	15/10/2020	5	5	100
9	Investment Committee	21/01/2021	5	5	100
10	Investment Committee	26/03/2021	5	5	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	29/07/2021
								(Y/N/NA)
1	DINESH KUMAR	5	5	100	0	0	0	No
2	VIJAY KUMAR	5	5	100	10	10	100	No
3	GITIKA KAPOOR	5	5	100	10	10	100	Yes
4	SAURABH CHANDRA	5	5	100	4	4	100	Yes
5	CHINTAMAN	5	5	100	9	9	100	Yes
6	RAJ VIKASH	2	2	100	0	0	0	Yes
7	NARAYANAN	5	5	100	9	9	100	No
8	SUNDER LAL	1	1	100	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NARAYANAN SADAN	Managing Director	5,293,954	0	0	0	5,293,954
	Total		5,293,954	0	0	0	5,293,954

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ASHISH KUMAR P	CFO	173,120	0	0	0	173,120
2	VENKATA RATNAM	CFO	2,627,256	0	0	0	2,627,256
3	TEJAS JAYESH ME	COMPANY SEC	1,034,000	0	0	0	1,034,000
	Total		3,834,376	0	0	0	3,834,376

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VIJAY KUMAR GUF	Director	440,000	0	0	0	440,000
2	GITIKA KAPOOR	Director	440,000	0	0	0	440,000
3	SAURABH CHAND	Director	320,000	0	0	0	320,000
4	CHINTAMAN MAHA	Director	420,000	0	0	0	420,000
5	RAJ VIKASH VERM	Nominee Director	100,000	0	0	0	100,000
	Total		1,720,000	0	0	0	1,720,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Shivlal Maurya

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

14053

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

ANTHONY
JULIANO
RODRIGUES
Digitally signed by
ANTHONY JULIANO
RODRIGUES
Date: 2021.09.24
17:13:28 +05'30'

DIN of the director

09217788

To be digitally signed by

TEJAS
JAYESH
MEHTA
Digitally signed by
TEJAS JAYESH
MEHTA
Date: 2021.09.24
17:12:28 +05'30'

☒ Company Secretary

☐ Company secretary in practice

Membership number

42593

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach**Attach****Attach****Attach****List of attachments**

List of Shareholders.pdf
Committee Meetings.pdf
Form MGT-8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



एस बी आई पेंशन फंड्स प्रा. लि.
एस बी आई पेंशन फंड्स प्रा. लि.
SBI Pension Funds Pvt. Ltd.

नं. 32, 3रा मजला, मेकर चेम्बर्स-III,
नरीमन पॉइंट, मुम्बई - 400 021.

नं. 32, 3री मजिल, मेकर चेम्बर्स-III,
नरीमन पॉइंट, मुम्बई - 400 021.

No. 32, 3rd Floor, Maker Chambers-III,
Nariman Point, Mumbai - 400 021.
www.sbpensionfunds.com

CIN : U66020MH2007GOI176787

Tel. : (022) 4214 7100 | Fax : (022) 4214 7113

Date :

Ref. No. :

LIST OF SHAREHOLDERS AS ON 31ST MARCH, 2021

Sr. No.	First Name	Middle Name	Last Name	Folio Number	Number of Shares held	Class of Shares
1	State Bank of India, Holding company	-	-	03	1,79,99,980	Equity Shares
2	Dinesh Kumar	Prem Nath	Khara	17	10*	Equity Shares
3	Devendra	Kanta Prasad Singh	Kumar	19	10*	Equity Shares
4	SBI Funds Management Private Limited	-	-	07	60,00,000	Equity Shares
5	SBI Capital Markets Limited	-	-	10	60,00,000	Equity Shares
Total					3,00,00,000	

* Shares held as a nominee of State Bank of India

Date : 15th September, 2021
Place : Mumbai



Tejas JM

Tejas Mehta
Company Secretary
ACS 42593



एस बी आई पेंशन फंड्स प्रा. लि.
एस बी आई पेंशन फंड्स प्रा. लि.
SBI Pension Funds Pvt. Ltd.

नं. 32, 3रा मजला, मेकर चेम्बर्स-III,
नरीमन पॉइंट, मुम्बई - 400 021.

नं. 32, 3री मजिल, मेकर चेम्बर्स-III,
नरीमन पॉइंट, मुम्बई - 400 021.

No. 32, 3rd Floor, Maker Chambers-III,
Nariman Point, Mumbai - 400 021.
www.sbpensionfunds.com

CIN : U66020MH2007GOI176787

Tel. : (022) 4214 7100 | **Fax :** (022) 4214 7113

Date :

Ref. No. :

RISK MANAGEMENT COMMITTEE MEETING

Sr. No.	Date of meeting	Total Number of Members as on the date of the meeting	No. of Members attended	% of Attendance
1.	23/04/2020	5	5	100
2.	13/07/2020	5	5	100
3.	15/10/2020	6	6	100
4.	21/01/2021	6	6	100

* Risk Management and Investment Committee of the Company are formed under the PFRDA (Pension Fund) Regulations, 2015.



Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of
Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of
SBI Pension Funds Private Limited as required to be maintained under the
Companies Act, 2013 and the rules made there under for the financial year ended
on 31st March 2021. In my opinion and to the best of my information and
according to the examinations carried out by me and explanations furnished to
me by the company, its officers and agents, I certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year
correctly and adequately.

B. during the aforesaid financial year the Company has complied with provisions
of the Act & Rules made there under in respect of:

1. Its status under the Act;
2. Maintenance of registers/records & making entries therein within the time
prescribed therefore; - **Complied**
3. filing of forms and returns as stated in the annual return, with the Registrar
of Companies, Regional Director, Central Government, the Tribunal, Court
or other authorities within/beyond the prescribed time; - **Complied**
4. calling/ convening/ holding meetings of Board of Directors or its
committees, if any, and the meetings of the members of the company on
due dates as stated in the annual return in respect of which meetings,
proper notices were given and the proceedings including the circular
resolutions and resolutions passed by postal ballot, if any, have been



properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed; - **Complied**

5. Closure of Register of Members / Security holders, as the case may be; - **Complied**
6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act; - **Not Applicable**
7. contracts/arrangements with related parties as specified in section 188 of the Act; - **Complied**
8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances; - **Not Applicable**
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act; - **Nil during the year.**
10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act; - **Nil During the year**
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof; - **Complied**
12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them; - **Complied.**



13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act; - **Not Required**
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; - **Complied**
15. Acceptance/ renewal/ repayment of deposits; - **Nil during the year.**
16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable; - **Not Required**
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act; - **Not Required**
18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company; - **Not Applicable**



Signature:

Shivlal R Maurya

C.P. No. :14053

UDIN: **A037655C000951524**

Place: Mumbai

Date: 15/09/2021